



RegHub Summit London 2024

**Uniting Minds.
Securing Markets.**



Thursday 13 June



**Landing Forty Two
The Leadenhall Building
122 Leadenhall Street
London EC3V 4AB**

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RegHub Summit Agenda:

9:00 Arrival, Tea and Coffee Reception

9:30 Welcome Address

- **Neil Walker**, Chief Executive Officer, TradingHub.

09:35 FCA Fireside Chat

- **Jamie Bell**, Head of Secondary Market Oversight, FCA.
- **Alan Lovell CBE**, Consultant and ex-Global Head of Surveillance, HSBC (Moderator).

10:15 Panel 1: Futureproofing Surveillance - The Challenges with Data Completeness

Global regulators have made it clear that just having a trade surveillance system in place is not adequate. The data feeding into the system must be complete and accurate. This has become increasingly challenging as regulators also expect that more complex markets and products, such as fixed income and commodities, must be monitored. In this panel, we will explore the difficulties of ensuring surveillance input data feeds are complete and accurate, how surveillance systems can aid in identifying potential data issues and how a surveillance function needs to adapt to constant change.

- **Robert Mangham**, Technical Specialist, Market Surveillance, Market Oversight Directorate, FCA.
- **Andrew Brodie**, Managing Director, Global Head of GM Business Controls, Bank of America.
- **Jamie Manvell**, Global Head of Surveillance Product Management and Transformation, HSBC.
- **Tobi Akingbolagun**, Head of Compliance Surveillance, Legal & General Investment Management (LGIM).
- **Mark Taylor**, Head of EMEA Relationship Management, TradingHub.
- **Alan Lovell CBE**, Consultant and ex-Global Head of Surveillance, HSBC (Moderator).

11:15 Break

11:45 Panel 2: Navigating Emerging Risks in an Evolving Regulatory Landscape – An Interactive Panel Discussion

In the face of increasing regulatory pressure and constant change, horizon scanning has become a crucial mechanism for 1st and 2nd line functions to identify emerging risks and highlight potential control failures. In this interactive panel discussion, you (the audience) will be asked a series of forward-looking, risk-based questions, with our panellists reviewing and debating the feedback.

- **Steve Clark**, Managing Director, Global Head of ISG and MSIM Trade Surveillance, Morgan Stanley.
- **Neil Saunders**, Global Head of FX Control and the Head of International Markets Control, Wells Fargo.
- **Ruk Permal**, Partner, PwC.
- **Tony Main**, Head of Sales Delivery, TradingHub.
- **Katie Rendall**, Proposition Manager, TradingHub (Moderator).

RegHub Summit Agenda:

12:45 Networking Lunch

13:45 Regulatory Fireside Chat

- **Jon Kroeper**, Former Executive Vice President, Equities and Fixed Income Surveillance, FINRA.
- **Shayne Ganeson**, Global Head of Relationship Management, TradingHub (Moderator).

14:30 Market Abuse Case Study Presentation

In this session, we will explore the evolution of spoofing from single to cross-product manipulation.

- **Selina Navarro**, Senior Relationship Manager, TradingHub.
- **Edward Selby**, Head of Professional Services, TradingHub.

15:20 Break

15:55 A Presentation On TradingHub's New Cross-Product Layering / Spoofing Metric

There has been significant regulatory pressure on cross-product abuse, with enforcement actions occurring specifically from cross-product spoofing activity. To help our customers ensure they have the most effective coverage, we launched our new cross-product layering/spoofing metric. In this presentation, we will discuss the new metric and the efficacy gains it provides our customers.

- **Mike Coats**, Chief Technology Officer, TradingHub.

16:45 Closing Remarks

- **Neil Walker**, Chief Executive Officer, TradingHub.

17:00-19:00

Drinks and Canapes

Each session will be held under the Chatham House Rule. Please be aware that this event will not be recorded and there will be no option to participate remotely or by dialling in.