



RegHub Summit New York 2023

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RegHub Summit New York 2023

We are excited to be hosting our inaugural RegHub Summit at **GH on the Park** in New York on Wednesday, 29th of November. This event will bring together regulators, senior risk and surveillance professionals and industry thought leaders for a morning of insightful discussion. To learn more about the event, please see the full agenda below.

REGHUB SUMMIT AGENDA

08:30	Arrival - Tea and coffee reception
09:00	Welcome Address – Neil Walker , Chief Executive Officer, TradingHub.
09:05	Panel 1: Primary Market Manipulation – An Emerging Surveillance Risk. A rise in enforcement actions related to primary market manipulation has shown the emergence of a potentially significant surveillance risk. Is this risk receiving enough attention from the vendor community and surveillance teams in financial institutions? Confirmed panellists: <ul style="list-style-type: none">● Ian McGinley, Director of the Division of Enforcement, CFTC.● Sean Dusseau, Managing Director, Global Head of Swap Dealer and Derivatives Compliance, Scotiabank.● Nader S. Raja, Member, Litigation, Moore & Van Allen.● Mike Coats, Chief Technology Officer, TradingHub.● Shayne Ganeson, Head of North America, TradingHub (Moderator).
09:50	Break
10:10	Panel 2: Effective Cross-Product Surveillance – The Best Approach. It is well documented that enforcement actions of late have been very focused on very sophisticated cases of cross-product market manipulation. We have seen cases brought by the Department of Justice (DOJ) where orders in the futures markets have been used to spoof the US Treasury markets – exploiting the shared risk exposure of these securities. Cases of spoofing are getting more sophisticated – or have they always been happening, and is the fog simply lifting on the perpetrators? Confirmed panellists: <ul style="list-style-type: none">● Edward Dasso, Senior Vice President of Market Regulation, NFA.● Paul Fagone, Principal, Advisory, FS Regulatory and Compliance Risk, KPMG.● Andrew Waters, Global Head of Regulatory Affairs, TradingHub.● Shayne Ganeson, Head of North America, TradingHub (Moderator).
11:00-12:00	Networking brunch

Each panel will be 45 minutes in length and will be held under the Chatham House Rule. Please be aware that this event will not be recorded and there will be no option for remote participation or dialing in.

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