

RegHub Summit New York 2024

AGENDA

9:00 Arrival, Tea And Coffee Reception

9:30 Welcome Address

- **Mike Coats**, Chief Technology Officer, TradingHub.

09:35 CFTC Fireside Chat

- **Chairman Rostin Behnam**, Chairman, Commodity Futures Trading Commission (CFTC).
- **Neil Walker**, Chief Executive Officer, TradingHub.

10:05 A Presentation On TradingHub's New Cross-Product Layering / Spoofing Metric

- **Mike Coats**, Chief Technology Officer, TradingHub.

11:00 Break

11:30 Panel 1: An Examination Deep Dive - Three Points Of View: Regulator, Registrant Firm And Surveillance Vendor

- **Michael J. Otten**, Senior Vice President, OTC Derivatives, National Futures Association (NFA).
- **Andrew Brodie**, Managing Director, Global Head of GM Business Controls, Bank of America.
- **Sean Dusseau**, Managing Director and Head, Global Capital Markets Compliance, Scotiabank.
- **Andrew Waters**, Global Head of Regulatory Affairs, TradingHub.
- **Katie Rendall**, Proposition Manager, TradingHub (Moderator).

12:30 Networking Lunch

13:45 Panel 2: Futureproofing Surveillance - The Challenges With Data Completeness

- **Rob Armstrong**, Consultant, RMCG Advisors. Former Office of the Comptroller of the Currency (OCC) Capital Markets Bank Examiner and ex-commodities trader.
- **Paul Fagone**, Principal, Advisory, FS Regulatory and Compliance Risk, KPMG.
- **Arcangelo Grisi**, Global Head of Trade Surveillance, Wells Fargo. Previously Head of US Market Surveillance at HSBC and Former FX and Commodities Trader.
- **Mark Taylor**, Head of EMEA Relationship Management, TradingHub.
- **Katie Rendall**, Proposition Manager, TradingHub (Moderator).

14:45 Building The Case: Insights On Combatting Market Manipulation

- **Scott Armstrong**, Principal, McGovern | Weems.
- **Elizabeth Davis**, Partner and Co-Chair of the Financial Services Practice Group, Davis Wright Tremaine LLP.
- **Shayne Ganeson**, Global Head of Relationship Management, TradingHub (Moderator).

15:30 Break

16:00 NFA Fireside Chat

- **Tom Sexton**, President and Chief Executive Officer, NFA.
- **Shayne Ganeson**, Global Head of Relationship Management, TradingHub.

16:30 Closing Remarks

- **Neil Walker**, Chief Executive Officer, TradingHub.

17:00-19:00

Drinks And Canapes

Each session will be held under the Chatham House Rule. Please be aware that this event will not be recorded and there will be no option to participate remotely or by dialling in.

Scan to submit
your questions.

