



RegHub Summit London 2023

Bringing together regulators, senior risk and surveillance professionals and industry thought leaders.

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RegHub Summit

London 2023

We are excited to be hosting our inaugural RegHub Summit in London this November. This event will bring together regulators, senior risk and surveillance professionals and industry thought leaders for a morning of insightful discussion. To learn more about the event, please see the full agenda below:

REGHUB SUMMIT AGENDA

08:30	Arrival - Tea and coffee reception
09:00	Welcome address – Neil Walker, Chief Executive Officer, TradingHub.
09:05	Panel: 7 Years Post-MAR: Adapting Surveillance Controls for MAR Compliance. Since the introduction of MAR in 2016, how well have firms adapted their surveillance controls to ensure compliance with MAR and where do further improvements need to be made? Confirmed panellists: <ul style="list-style-type: none">● Sarah Hone, Manager / Market Surveillance Team, FCA.● Paul Clulow-Phillips, Managing Director, Global Head of Surveillance, Société Générale.● Andrew Waters, Global Head of Regulatory Affairs, TradingHub.● Alan Lovell CBE, Consultant and ex-Global Head of Surveillance at HSBC (Moderator).
09:50	Break
10:10	Panel: Primary Market Manipulation – An Emerging Surveillance Risk. A rise in enforcement actions related to primary market manipulation has shown the emergence of a potentially significant surveillance risk. Is this risk receiving enough attention from the vendor community and surveillance teams in financial institutions? Confirmed panellists: <ul style="list-style-type: none">● Andrew Brodie, Managing Director, Global Head of GM Business Controls, Bank of America.● Robert Hammond, Managing Director, Global Head of Front Office Conduct Surveillance, BNP Paribas Bank.● Lucas Ocelewicz, Partner, Banking Risk, KPMG.● Hannes Ortner, Manager – FICC Market Abuse SMO Enforcement and Market Oversight, FCA.● Mike Coats, Chief Technology Officer, TradingHub.● Alan Lovell CBE, Consultant and ex-Global Head of Surveillance, HSBC (Moderator).
11:00-12:00	Networking brunch

Each panel will be 35-40 minutes in length and will be held under the Chatham House Rule. Please be aware that this event will not be recorded and there will be no option for remote participation or dialing in.

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